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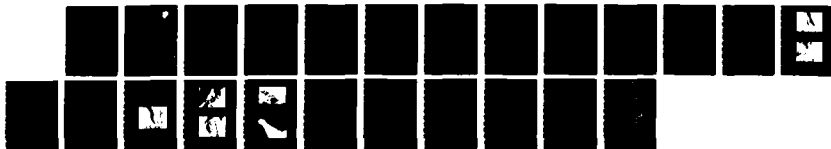
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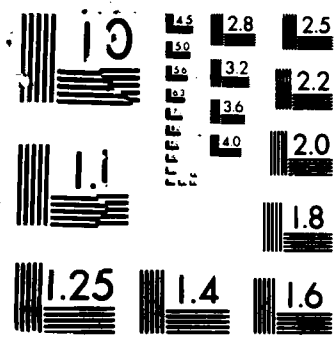
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**Final Technical Report**  
**February 1987**



## ***PHASE STABILITY OF FLUORIDE GLASSES***

**Catholic University of America**

**Catherine J. Simmons and Joseph H. Simmons**

**AD-A182 174**

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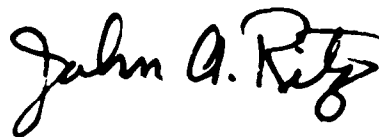
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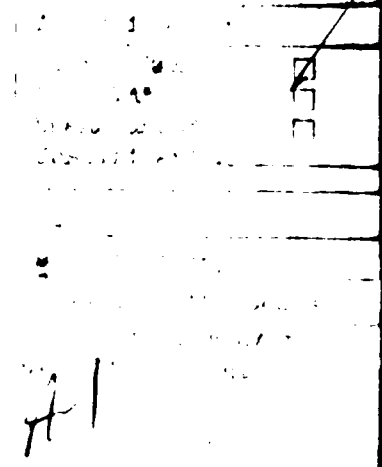
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## FORWORD

The results of our research on this contract are described in the adjoining paper submitted for publication to the Journal of the American Ceramic Society. Our results are also scheduled for presentation at the 3rd International Symposium on Halide Glasses in Rennes, France, June, 1985 (see extended abstract in the appendix) and at the Fall Meeting of the Glass Division of the American Ceramic Society in Corning, New York, November, 1985.

In this paper, we have combined the methods of transmission electron microscopy, scanning electron microscopy, x-ray microanalysis, and thermal analysis to show irrefutably and for the first time that subliquidus phase-separation occurs in some fluoride glasses, and can greatly affect crystallization processes and kinetics. These studies have opened the way for more systematic studies of phase equilibria in fluoride glasses where both phase separation and crystallization kinetics are examined in understanding the source of detrimental optical scattering losses. Such light scattering, from fully formed multiphase structures or from supercritical fluctuations in composition can, under adverse conditions, prevent the achievement of predicted low losses in fluoride glasses; therefore, an understanding of the phase-stability of these glasses and of ways to avoid phase decomposition is essential to the development of ultra-low loss communications links from these materials. Research in this area will continue both at the RADC/ESM laboratory, Hanscom AFB, Massachusetts and at the University of Florida, Gainesville, Florida.



# Phase Stability of $\text{CdF}_2$ - $\text{LiF}$ - $\text{AlF}_3$ - $\text{PbF}_2$ Glasses†

by

Osama H. El-Bayoumi,\* Catherine J. Simmons,\*\* Edward M. Clausen, Jr.,\*\*  
Michael J. Suscavage,\* and Joseph H. Simmons\*\*

## ABSTRACT

The techniques of scanning electron microscopy, energy dispersive x-ray micro-analysis, dark-field transmission electron microscopy, and thermal analysis were combined to study the phase stability of the  $\text{CdF}_2$ - $\text{LiF}$ - $\text{AlF}_3$ - $\text{PbF}_2$  glass system. The selected composition, presumed to be the most stable, exhibited a liquid-liquid immiscibility phase transition, which led to the formation of two amorphous phases consisting of a matrix near the original glass composition and an isolated spherical phase which was richer in  $\text{CdF}_2$  and poorer in  $\text{PbF}_2$  than the matrix. Subsequent secondary phase separation and crystallization are observed with heat-treatments.

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†This research was supported in part by the Air Force under RADC contract F19628-84-K-0036.

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In this study two different melting techniques were used: namely, induction melting and conventional heat resistance melting techniques. Two induction melting systems were utilized. The first was an Arthur D. Litte crystal growing induction furnace (ADL) described above. It produced samples designated "slow crucible cooled" CLAP-IA. The cooling rate was estimated to be 20°C/min. The second, consisting of a modified induction furnace, recently reported, produced samples designated "fast crucible cooled" CLAP-IB. The rate of cooling was estimated to be 100°C/min. Two other glass samples were conventionally melted and cast in a brass mold to form a mainly opalescent glass CLAP-IC and a clear yellow glass CLAP-ID. The rate of cooling was estimated to be 10°C/min and 5°C/min, respectively.

Anhydrous fluorides were used as starting materials. These include  $\text{CdF}_2$  (99.9%, Cerac),  $\text{PbF}_2$  and  $\text{LiF}$  (Optipur, EM chemicals),  $\text{AlF}_3$  (99.5% pure Cerac). The composition used in this study to produce samples with the four different rates of cooling consisted of 28.5 mole %  $\text{CdF}_2$ , 5.0 mole %  $\text{LiF}$ , 28.5 mole %  $\text{AlF}_3$ , and 38.0 mole %  $\text{PbF}_2$ .

Glass transition and crystallization temperatures were determined using Differential Scanning Calorimetry (DSC) at a heating rate of 10°C/min. Small bulk pieces of the glass were heated in a tube furnace at 309°C for 1 hour, 3 hours, and 16.5 hours. The furnace temperature was controlled within 1°C. A fresh fracture surface, before and after heat-treatment was immediately carbon coated and examined by SEM. The compositions of different phases were identified utilizing energy dispersion techniques. A fine powder of the glass was investigated by transmission electron microscopy (TEM) using a focused electron beam.



## Results

### a. Scanning Electron Microscopy

Scanning electron micrographs were taken on samples from all the different cooling rates before and after heat-treatment at 309°C for times of 1 hour, 3 hours, and 16.5 hours. Except for the fast cooled samples (IB), the results all lead to the same conclusion: the unheat-treated fast cooled samples (I-B) showed very few isolated spheres of a second phase (Fig. 1a). Unheat-treated slower cooled samples (IA) showed a larger number of the same isolated spheres, 5-10  $\mu\text{m}$  in diameter, as shown in Fig. 1b. This phase was analyzed by energy dispersive x-ray microanalysis (EDX) to have a composition which is richer in  $\text{CdF}_2$  and poorer in  $\text{PbF}_2$  than the matrix, as shown in Figs. 2a and 2b. No information could be obtained on the  $\text{AlF}_3$  or  $\text{LiF}$ . Assuming no change in the latter, it appeared that the  $\text{CdF}_2$  concentration in the dispersed second phase increased from the matrix value of 38 mole % to 56 mole %, while the  $\text{PbF}_2$  concentration dropped from 28.5 mole % to 13 mole %.

Recently reported results showed that heat-treatments at 309°C for one hour of the unheat-treated fast cooled samples (IB) caused a pronounced increase in the volume fraction of the droplet phase with no sign of crystallization. On the other hand, subsequent heat-treatments at the same temperature on slower cooled samples (IA) unexpectedly showed no change in the size or number of spheres. The micrographs in Fig. 3 show the appearance of a crystalline phase in the matrix growing from the matrix-sphere boundary. The crystalline phase exhibited in the same composition as the matrix phase SEM micrographs of the heat-treated much slower cooled samples IC and ID showed an advanced stage of the same interface matrix-droplet crystallization. The

spheres were completely surrounded by the crystalline phase. SEM and EDX analysis through a fracture in the crystalline phase (Fig. 4) showed that the spherical phases inside were still amorphous (smooth) in appearance and its composition had not changed. The crystalline phase continued to grow into the matrix with no change in composition.

#### b. Transmission Electron Microscopy

SEM measurements yield the structure of additional phases in a glass when the sizes are large ( $> 1 \mu$ ). However, aside from a topographical and composition analysis, they cannot clearly identify whether a phase is amorphous or crystalline. Appearances are sometimes helpful, as in this case, where the lack of any features on the isolated spheres strongly suggest that they are amorphous. However, for a definite determination of degree of crystallinity, it is necessary to conduct diffraction measurements. The low volume fraction of the spherical phase made x-ray diffraction measurements unproductive, leaving only electron diffraction measurements in a transmission electron microscope.

In the study of phase stability of fluoride glasses, however, the transmission electron microscope does not prove to be as useful as with silicate glasses. Because of the presence of heavy transition metals and thorium, and because of the low melting point of these glasses, the samples generally adsorb the electron beam efficiently and remelt during observation due to the heating yielding very little structural information.

TEM studies were conducted on both the fast cooled unneat-treated sample (I-B) and the slower cooled sample (I-A). In the fast cooled sample we were able to avoid remelting only in very thin samples and, therefore, we were able

to see the large (5-20  $\mu$ ) spherical structure observed in the SEM due to its size. However, a small scale, second-phase structure was identifiable with spheres of 400-1000Å (Fig. 5). These second-phase spheres are clearly amorphous and show no electron diffraction in dark-field imaging. However, while these spheres were originally amorphous under observation in the electron beam, after a few minutes they were observed to show distinct geometrical facets and began to diffract electrons in dark field microscopy, indicating a crystal growth stage which was subsequently terminated abruptly by localized melting leaving the sample under observation in a single-phase homogenous condition.

Since the spheres observed in the TEM are too small for detection by the SEM, and those seen in the SEM are too large for TEM studies, there is no direct evidence that the phases are the same composition. However, the similar spherical appearance and thermal history in crystallization of the matrix phase are a strong indication that they originate from the same amorphous second phase in the glass with increased  $\text{CdF}_2$  and decreased  $\text{PbF}_2$  content.

Bright and dark field TEM studies of this glass also showed numerous micro-crystallites distributed in the sample with sizes near and below 100Å. Studies of the slow cooled sample showed an additional very small amorphous structure (Fig. 6), possibly due to a secondary phase separation.

These results indicate that many phases can develop during heat-treatment of fluoride glasses and that the competition between crystallization and liquid-liquid immiscibility occurs both in the thermodynamic and kinetic sense.

### Thermal Analysis Study

One of the slowly cooled samples (1c) was studied using differential scanning calorimetry. This sample was selected because it exhibited a large density of the amorphous, second-phase spheres, with some interface crystals as well. A fast heating rate (20°C/min) was selected to minimize structural changes during heating in order to be able to relate the results of the DSC test with the structure observed in the SEM. The data obtained is shown in Fig. 7.

Near the liquidus temperature of the glass several overlapping endothermic melting peaks were observed, indicating the possible presence at least of two crystalline species. The major peaks occurred at 544°C and 557°C. At lower temperatures, two exothermic peaks were observed at 380°C and 455°C, indicating crystal growth during heating. The glass transition temperature of this glass was measured to be 275°C.

### Conclusions

The  $\text{CdF}_2\text{-LiF-AlF}_3\text{-PbF}_2$  glass system studied here exhibits liquid-liquid immiscibility. One edge of the gap is near the composition assumed to exhibit the greatest stability (28.5%  $\text{CdF}_2$ , 5.0%  $\text{LiF}$ , 28.5%  $\text{AlF}_3$ , and 38%  $\text{PbF}_2$  by mole). The other edge of the gap appears to be in a region of higher  $\text{CdF}_2$  concentration (56%  $\text{CdF}_2$ ) and lower  $\text{PbF}_2$  concentration (13%  $\text{PbF}_2$ ). The variations in  $\text{LiF}$  and  $\text{AlF}_3$  concentrations across the gap have not yet been determined.

It is interesting to see that the phase separation process accelerates crystallization by enhancing the nucleation process of crystals in the matrix

phase. This is accomplished by either the formation of a depleted region around the amorphous droplets where the  $\text{CdF}_2$  concentration is lowered, or by the reduction of crystalline surface energy by intersection with the interface between the amorphous phases. While crystallization occurs readily in the matrix phase by subsequent growth of crystal nuclei, the droplet phase remains amorphous to much higher temperatures. This is possibly due to a significant difference in viscosity and diffusion coefficient between the phases with the high  $\text{CdF}_2$  phase exhibiting a lower diffusion coefficient and higher viscosity.

This glass is a clear example of the detrimental effect which liquid-liquid immiscibility can have on the resistance of a glass to crystallization. Additionally, these combined phase instabilities are expected to cause some light scattering loss in the glass. At almost any level light scattering, from such large structures as were observed in this glass, will have a detrimental effect on applications in long-distance communications, since the wavelength dependence of the scattering coefficient will follow a Mie scattering (wavelength independent) behavior rather a Rayleigh scattering ( $\lambda^{-4}$ ) behavior and transmissions at longer wavelengths will not lead to reductions in losses.

#### References:

1. M. J. Suscavage, O. H. El-Bayoumi, J. J. Comer and J. A. Hoorigan, "Observation of Phase-Separation in Heavy-Metal Fluoride Glass," Amer. Ceram. Soc. Bull., 64 [3] 476 (1985).



Figure 1a - Amorphous droplet phase in rapidly quenched CLAP IB glass.

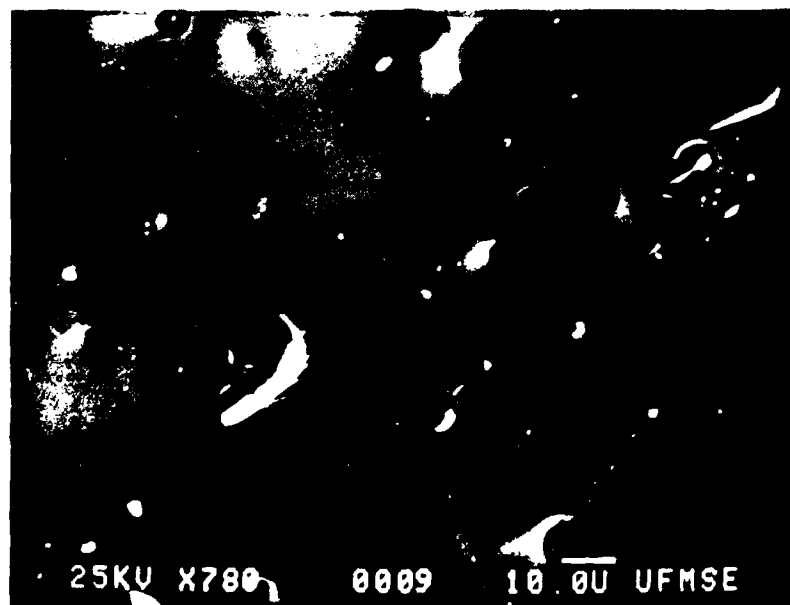


Figure 1b - Amorphous droplets in slowly cooled CLAP IA glass.

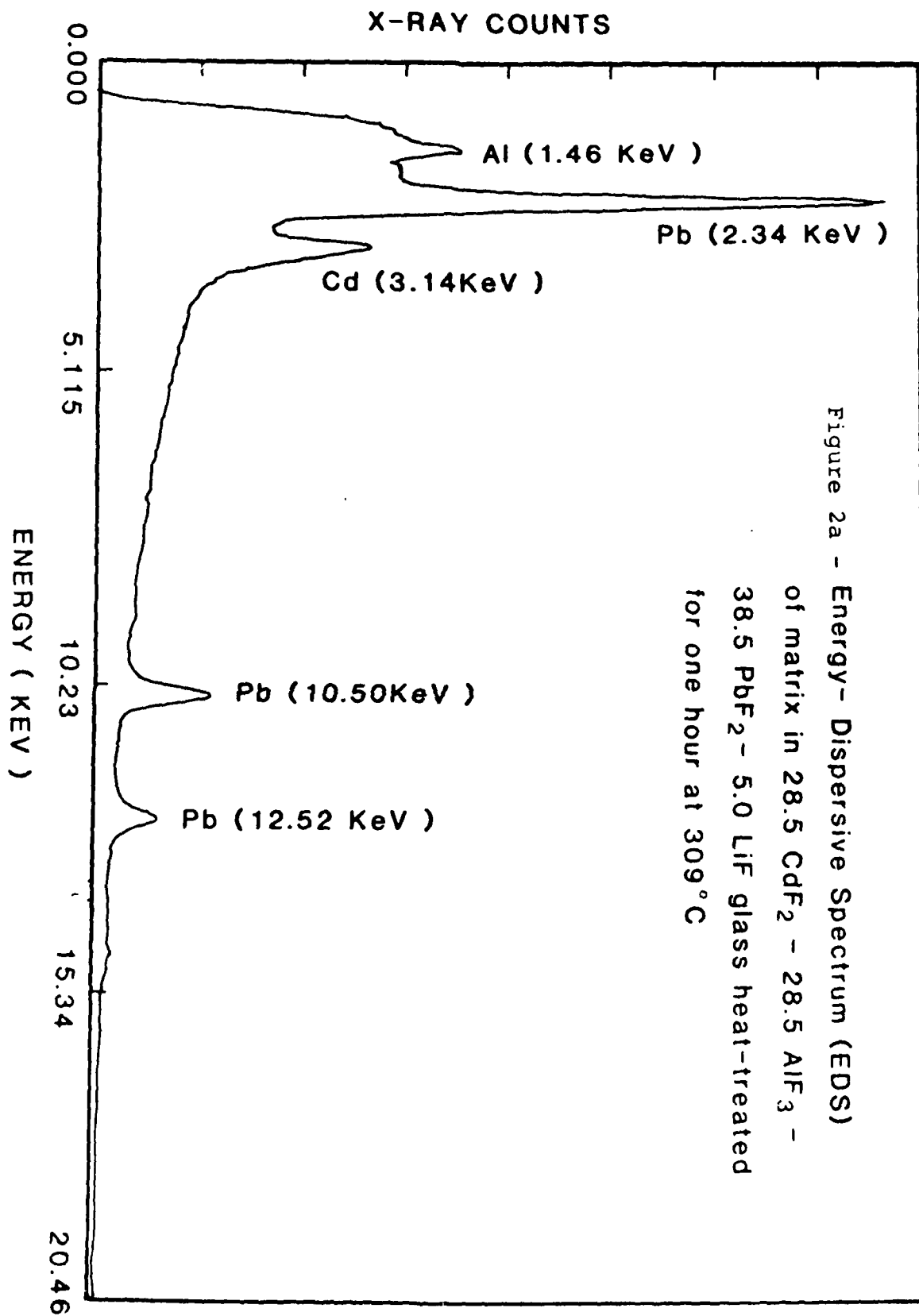


Figure 2a - Energy- Dispersive Spectrum (EDS)  
of matrix in 28.5 CdF<sub>2</sub> - 28.5 AlF<sub>3</sub> -  
38.5 PbF<sub>2</sub> - 5.0 LiF glass heat-treated  
for one hour at 309°C

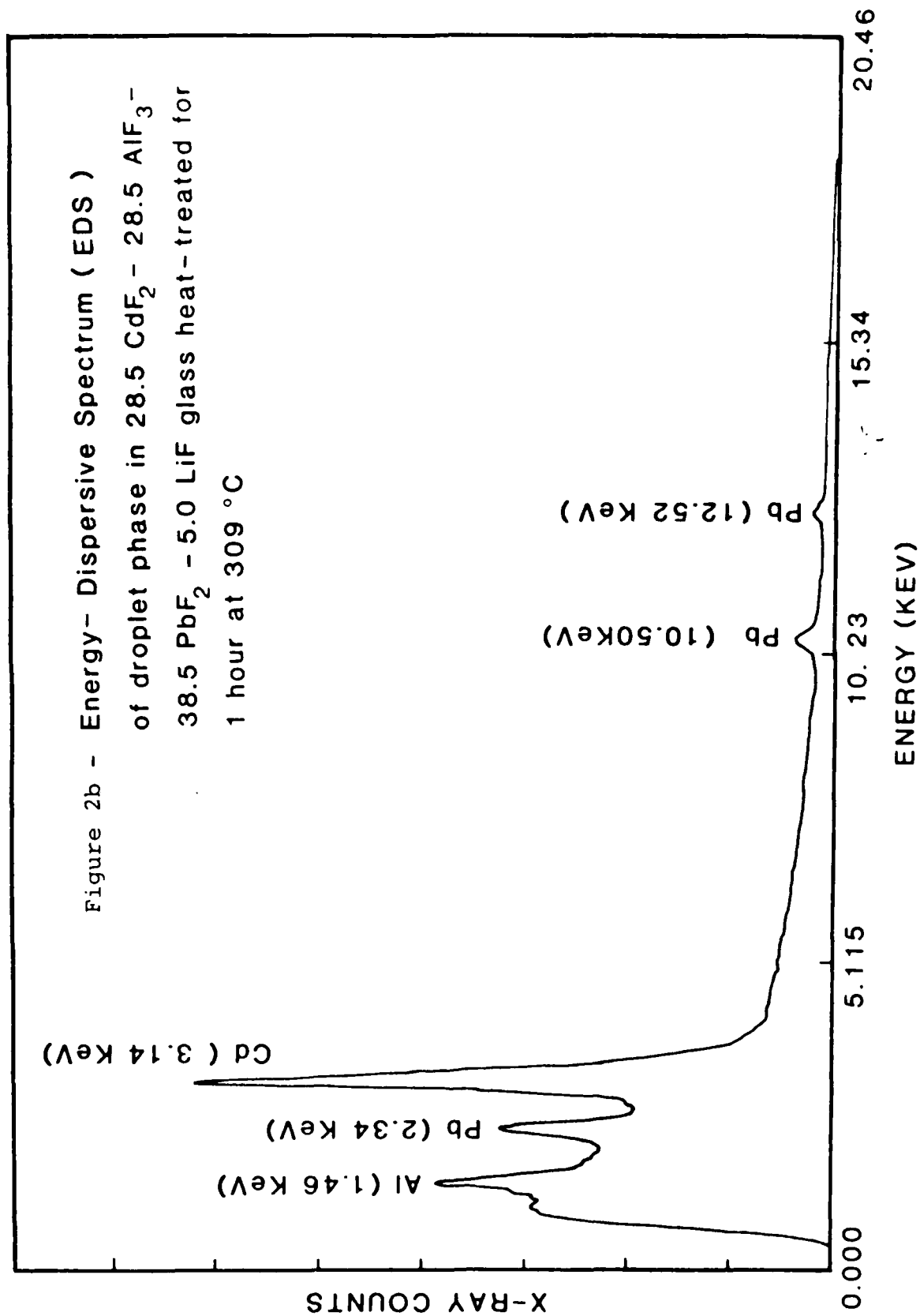






Figure 3 - Clap IA glass heat treated 1 hour at 309C showing the growth of crystals at the droplet-matrix boundary and into the matrix phase.

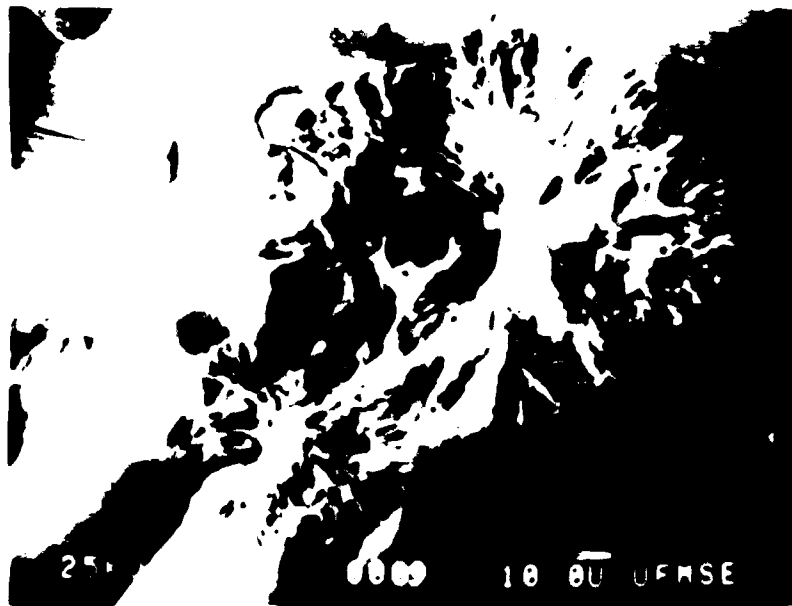


Figure 4a - Crystals with advanced development.

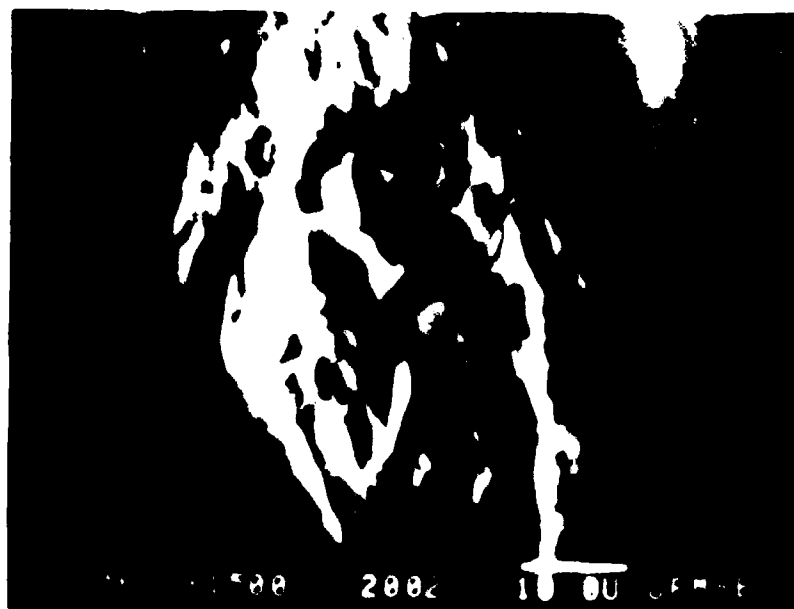


Figure 4b - EDS and SEM measurements in the crack of a crystal showed that the droplet phase was unchanged.



Figure 5 - Dark field TEM picture showing the presence of amorphous spheres.



Figure 6 - Secondary phase separation observed in TEM.

Sample: CLAP IB/1

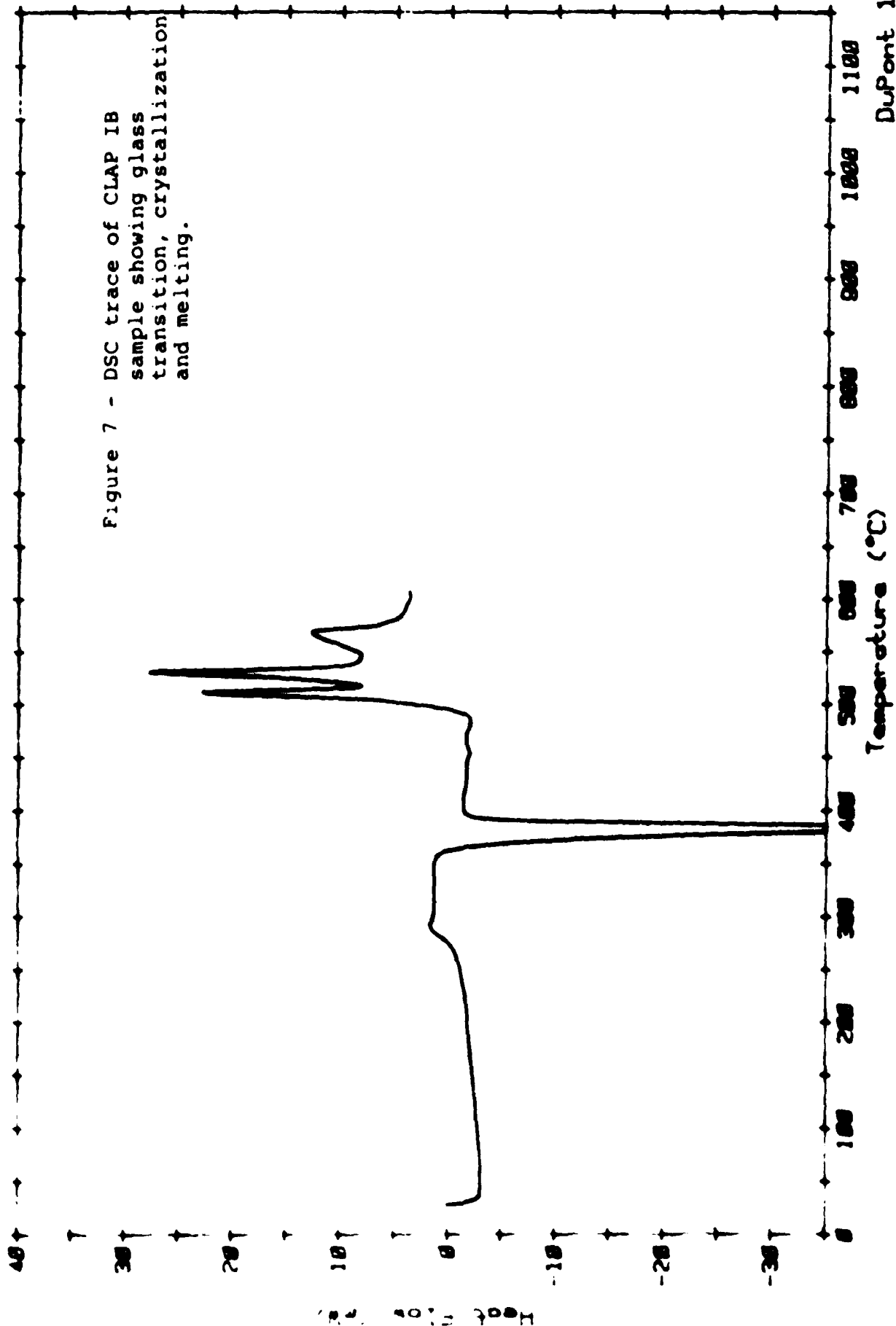
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## Appendix

Extended abstract for submission to: 3rd International Symposium on Halide Glasses, Rennes, France, June 23-28, 1985.

### IMMISCIBILITY AND CRYSTALLIZATION IN HEAVY METAL FLUORIDE GLASSES†

by

Osama H. el-Bayoumi,\* Catherine J. Simmons,\*\* Michael J. Suscavage,\*  
Edward M. Clausen, Jr.\*\* and Joseph H. Simmons\*\*

Heavy metal fluoride glasses are of considerable interest for use in optical communications because of their potential for low Rayleigh scattering at infrared wavelengths. However, this potentially high transparency can be significantly reduced by light scattering due to intrinsic microstructure in the glasses. Crystallization and liquid-liquid immiscibility in HMF glasses are two processes for strong light-scattering which can be either wavelength dependent or independent, according to microstructure size and composition.

Until now, crystallization processes have received attention in HMF glasses. Clausen et al. reported the possible observation of two amorphous phases in a fluoride glass, using transmission electron microscopy, and a crystalline phase in glass samples. However, this structure was not identified as crystalline. Recently, Clausen et al. reported phase formation in a fluoride glass by crystallization from a melt.

† This work was supported by the University of Illinois at Urbana-Champaign, Urbana, Illinois, under Grant No. 00014-84.

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Transmission electron microscopy revealed a wide range of microstructure sizes ranging down to 1500Å. Darkfield TEM studies of the droplet phase showed it to be amorphous. Under the electron beam, however, crystals appeared to form at the droplet sites and were then observed to melt and to form a homogeneous glass. SEM studies showed that the observed crystals grow in the matrix phase at the droplet interface and grow to encapsulate it, while the droplet phase remains amorphous.

The phase equilibrium of this system, and the effect of the subliquidus immiscibility transition on crystallization kinetics are discussed.

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2. M. J. Jurek, J. H. El-Raydani, A. J. Cohen and J. A. Hodgman, "Observation of phase separation in Heavy Metal Fluoride Glass," Amer. Ceram. Soc., vol. 4-33, 416, 1985.
3. M. J. Jurek and J. H. El-Raydani, "Liquid-liquid phase separation in Heavy Metal Fluoride Glass," to be published in Amer. Ceram. Soc.
4. T. Inasawa, private communication.



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